

IN THE UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF NORTH CAROLINA  
CHARLOTTE DIVISION

CIVIL ACTION FILE NO. 3:20-CV-00195-GCM

JAMES WARFIELD,	)	
Plaintiff,	)	
	)	
v.	)	<b>NOTICE OF APPEAL BY JAMES</b>
	)	<b>WARFIELD</b>
ICON ADVISERS, INC. and ICON	)	
DISTRIBUTORS, INC.,	)	
Defendants.	)	

Notice is hereby given that Plaintiff James Warfield hereby appeals to the United States Court of Appeals for the Fourth Circuit from the Order (D.E. 19) entered by the Honorable Graham C. Mullen, United States District Court Judge, on the 16th day of June 2020, granting in part and denying in part Plaintiff's Motion to Confirm Arbitration Award and Defendant's Motion to Vacate Arbitration Award.

This 24th day of June, 2020.

/s/ Gary J. Rickner  
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CERTIFICATE OF SERVICE

I hereby certify that on June 24, 2020, I electronically filed the foregoing NOTICE OF APPEAL BY JAMES WARFIELD with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the following CM/ECF participants: Jonathan W. Yarbrough

/s/ Gary J. Rickner  
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